

SANCTIONS - ADMINISTRATIVE SANCTIONS

1st half-year 2021

Outcome of sanctioning proceedings

	Number	%	Amount (in euro)
SANCTIONS AGAINST UNDERTAKINGS			
• Pecuniary sanctions *	55	26,6	1.873.396
• Non-pecuniary sanctions	0	0,0	---
Total undertakings sanctions	55	26,6	1.873.396
SANCTIONS AGAINST INTERMEDIARIES			
• Pecuniary sanctions	4	1,9	290.000
• Non-pecuniary sanctions	79	38,2	---
• Disciplinary sanctions**	10	4,8	---
Total intermediaries sanctions	93	44,9	290.000
DISMISSALS	59	28,5	---
OVERALL TOTAL	207	100,0	2.163.396

Source: IVASS

* N. 14 orders relate to proceedings initiated following the unitary assessment of specific breaches in a determined period of time to which a single sanction corresponds

** Relating to proceedings initiated for violations committed by intermediaries before 1 October 2018