

SANCTIONS - ADMINISTRATIVE SANCTIONS

1st half-year 2021

Detail of intermediaries - Sanctions for each section

	Section A Agents	Section B Brokers	Section D Financial intermediaries	Section E Collaborators	TOTAL	% of the number of intermediaries total sanctions
Reproach	3	10	0	4	17	18,3
Censure	13	1	0	24	38	40,9
Pecuniary sanction						
<i>Number</i>	3	0	1	0	4	4,3
<i>Amount</i>	15.000	0	275.000	0	290.000	
Striking off	8	4	0	16	28	30,1
Removal	4	1	0	1	6	6,5
Total for each section	31	16	1	45	93	100,0

Source: IVASS