

SANCTIONS - ADMINISTRATIVE SANCTIONS

2nd half-year 2021

Detail of undertakings - Sanctions for violations

| | Claims settlement | Certificates of claims experience | Data Bank of claims | Data Bank of certificates | Access to documents | Life insurance settlement | Management | Anti-money laundering | TOTAL |
|--|-------------------|-----------------------------------|---------------------|---------------------------|---------------------|---------------------------|------------|-----------------------|------------------|
| Number of sanctions | 10 | 4 | 13 | 14 | 2 | 1 | 1 | 2 | 47 |
| % | 21,3 | 8,5 | 27,6 | 29,8 | 4,3 | 2,1 | 2,1 | 4,3 | 100,0 |
| Amount of sanctions (in euro) | 354.403 | 233.220 | 205.333 | 194.667 | 60.000 | 30.000 | 100.000 | 180.000 | 1.357.623 |
| % | 26,1 | 17,2 | 15,1 | 14,3 | 4,4 | 2,2 | 7,4 | 13,3 | 100,0 |
| Average amount per sanction (in euro) | 35.440 | 58.305 | 15.795 | 13.905 | 30.000 | 30.000 | 100.000 | 90.000 | 28.886 |

Source: IVASS