

SANCTIONS - ADMINISTRATIVE SANCTIONS

2nd half-year 2021

Detail of intermediaries - Sanctions for each section

	Section A Agents	Section B Brokers	Section D Financial intermediaries	Section E Collaborators	TOTAL	% of the number of intermediaries total sanctions
Reproach	1	4	0	1	6	15,8
Censure	8	3	0	4	15	39,5
Pecuniary sanction						
<i>Number</i>	1	1	1	0	3	7,9
<i>Amount</i>	42.000	30.000	5.000	0	77.000	
Striking off	3	6	0	1	10	26,3
Removal	1	3	0	0	4	10,5
Total for each section	14	17	1	6	38	100,0

Source: IVASS