

SANCTIONS - ADMINISTRATIVE SANCTIONS

Year 2022

Outcome of sanctioning proceedings

	Number	%	Amount (in euro)
SANCTIONS AGAINST UNDERTAKINGS			
• Pecuniary sanctions *	62	24,4	4.822.053
• Non-pecuniary sanctions	0	0,0	---
Total undertakings sanctions	62	24,4	4.822.053
SANCTIONS AGAINST CORPORATE OFFICERS AND PERSONNEL			
• Pecuniary sanctions	19	7,5	1.754.200
Total sanctions for corporate officers and personnel	19	7,5	1.754.200
SANCTIONS AGAINST INTERMEDIARIES			
• Pecuniary sanctions	4	1,6	85.000
• Non-pecuniary sanctions	105	41,3	---
• Disciplinary sanctions **	14	5,5	---
Total intermediaries sanctions	123	48,4	85.000
DISMISSALS	50	19,7	---
OVERALL TOTAL	254	100,0	6.661.253

Source: IVASS

* N. 37 orders relate to proceedings initiated following the unitary assessment of specific breaches in a determined period of time to which a single sanction corresponds

** Relating to proceedings initiated for violations committed by intermediaries before 1 October 2018