

SANCTIONS - ADMINISTRATIVE SANCTIONS

1st half-year 2022

Outcome of sanctioning proceedings

	Number	%	Amount (in euro)
SANCTIONS AGAINST UNDERTAKINGS			
• Pecuniary sanctions *	32	24,1	2.197.379
• Non-pecuniary sanctions	0	0,0	---
Total undertakings sanctions	32	24,1	2.197.379
SANCTIONS AGAINST INTERMEDIARIES			
• Pecuniary sanctions	1	0,7	5.000
• Non-pecuniary sanctions	57	42,9	---
• Disciplinary sanctions **	14	10,5	---
Total intermediaries sanctions	72	54,1	5.000
DISMISSALS	29	21,8	---
OVERALL TOTAL	133	100,0	2.202.379

Source: IVASS

* N. 22 orders relate to proceedings initiated following the unitary assessment of specific breaches in a determined period of time to which a single sanction corresponds

** Relating to proceedings initiated for violations committed by intermediaries before 1 October 2018