

SANCTIONS - ADMINISTRATIVE SANCTIONS
2nd half-year 2022

Outcome of sanctioning proceedings

	Number	%	Amount (in euro)
SANCTIONS AGAINST UNDERTAKINGS			
• Pecuniary sanctions *	30	24,8	2.624.674
• Non-pecuniary sanctions	0	0,0	---
Total undertakings sanctions	30	24,8	2.624.674
SANCTIONS AGAINST CORPORATE OFFICERS AND PERSONNEL			
• Pecuniary sanctions	19	15,7	1.754.200
Total sanctions for corporate officers and personnel	19	15,7	1.754.200
SANCTIONS AGAINST INTERMEDIARIES			
• Pecuniary sanctions	3	2,5	80.000
• Non-pecuniary sanctions	48	39,6	---
• Disciplinary sanctions **	0	0,0	---
Total intermediaries sanctions	51	42,1	80.000
DISMISSALS	21	17,4	---
OVERALL TOTAL	121	100,0	4.458.874

Source: IVASS

* N. 15 orders relate to proceedings initiated following the unitary assessment of specific breaches in a determined period of time to which a single sanction corresponds

** Relating to proceedings initiated for violations committed by intermediaries before 1 October 2018