

SANCTIONS - ADMINISTRATIVE SANCTIONS 1st half-year 2023

Outcome of sanctioning proceedings

	Number	%	Amount (in euro)
SANCTIONS AGAINST UNDERTAKINGS			
Pecuniary sanctions *	24	32,4	2.902.149
Non-pecuniary sanctions	0	0,0	
Total undertakings sanctions	24	32,4	2.902.149
SANCTIONS AGAINST CORPORATE OFFICERS AND PERSONNEL			
Pecuniary sanctions	0	0,0	0
Total sanctions for corporate officers and personnel	0	0,0	0
SANCTIONS AGAINST INTERMEDIARIES			
Pecuniary sanctions	4	5,4	105.000
Non-pecuniary sanctions	31	41,9	
Total intermediaries sanctions	35	47,3	105.000
DISMISSALS	15	20,3	
OVERALL TOTAL	74	100,0	3.007.149

Source: IVASS

* N. 14 orders relate to proceedings initiated following the unitary assessment of specific breaches in a determined period of time to which a single sanction corresponds