

SANCTIONS - ADMINISTRATIVE SANCTIONS 1st half-year 2023

Detail of intermediaries - Sanctions for each section

	Section A Agents	Section B Brokers	Section D Financial intermediaries	Section E Collaborators	TOTAL	% of the number of intermediaries total sanctions
Reproach	4	1	1	0	6	17,1
Censure	1	4	0	7	12	34,3
Pecuniary sanction						
Number	1	3	0	0	4	11,4
Amount	30.000	75.000	0	0	105.000	
Striking off	1	5	0	4	10	28,6
Withdrawal	1	2	0	0	3	8,6
Total for each section	8	15	1	11	35	100,0

Source: IVASS