

## SANCTIONS - ADMINISTRATIVE SANCTIONS

### Year 2023

### Outcome of sanctioning proceedings

	Number	%	Amount (in euro)
<b>SANCTIONS AGAINST UNDERTAKINGS</b>			
• Pecuniary sanctions *	40	30,7	6.329.864
• Non-pecuniary sanctions	0	0,0	---
<b>Total undertakings sanctions</b>	<b>40</b>	<b>30,7</b>	<b>6.329.864</b>
<b>SANCTIONS AGAINST CORPORATE OFFICERS AND PERSONNEL</b>			
• Pecuniary sanctions	8	6,2	402.000
<b>Total sanctions for corporate officers and personnel</b>	<b>8</b>	<b>6,2</b>	<b>402.000</b>
<b>SANCTIONS AGAINST INTERMEDIARIES</b>			
• Pecuniary sanctions	8	6,2	247.000
• Non-pecuniary sanctions	50	38,4	---
<b>Total intermediaries sanctions</b>	<b>58</b>	<b>44,6</b>	<b>247.000</b>
<b>DISMISSALS</b>	<b>24</b>	<b>18,5</b>	<b>---</b>
<b>OVERALL TOTAL</b>	<b>130</b>	<b>100,0</b>	<b>6.978.864</b>

Source: IVASS

\* N. 20 orders relate to proceedings initiated following the unitary assessment of specific breaches in a determined period of time to which a single sanction corresponds