

SANCTIONS - ADMINISTRATIVE SANCTIONS

1st half-year 2024

Outcome of sanctioning proceedings

	Number	%	Amount (in euro)
SANCTIONS AGAINST UNDERTAKINGS			
• Pecuniary sanctions *	24	31,2	10.748.229
• Non-pecuniary sanctions	0	0,0	---
Total undertakings sanctions	24	31,2	10.748.229
SANCTIONS AGAINST CORPORATE OFFICERS AND PERSONNEL			
• Pecuniary sanctions	3	3,9	15.000
Total sanctions for corporate officers and personnel	3	3,9	15.000
SANCTIONS AGAINST INTERMEDIARIES			
• Pecuniary sanctions	0	0,0	0
• Non-pecuniary sanctions	36	46,7	---
Total intermediaries sanctions	36	46,7	0
DISMISSALS	14	18,2	---
OVERALL TOTAL	77	100,0	10.763.229

Source: IVASS

* N. 19 orders relate to proceedings initiated following the unitary assessment of specific breaches in a determined period of time to which a single sanction corresponds